

MATRIX PRIVATE CAPITAL GROUP LLC PRIVACY NOTICE

FACTS	WHAT DOES MATRIX PRIVATE CAPITAL GROUP ("MATRIX") DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social security number • Assets • Name, address, telephone number • Income • Risk tolerance and Investment experience • Education/employment information • Account transactions and account balances <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Matrix chooses to share; and whether you can limit this sharing.

Reasons we can share your information	Does Matrix share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes – information about your transactions and experiences	No	We do not share
For our affiliates' everyday business purposes – information about your creditworthiness	No	We do not share
For our affiliates to market to you	No	We do not share
For non-affiliates to market to you	No	We do not share

To limit our Sharing	<p>We do not currently share your information except as necessary to manage your account or as required by law.</p> <p>Please note: if you are a new client, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer a client, we continue to share your information as described in this notice.</p>
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Questions?	Call Seth Scher at 212-756-8655 Visit: www.matrixpcg.com
What we are	
Who is providing this notice?	Matrix Private Capital Group LLC
What we do:	
How does Matrix protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Matrix collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Enter into an investment advisory contract or other agreements • Open an account or seek financial advice about your investments • Give us your contact information • Tell us about your investment or retirement portfolio • Give us your employment history • Make a wire transfer or direct us to buy securities • Communicate with Matrix via telephone, mail, or e-mail
Why can't I limit all sharing?	<p>Federal Law gives you the right to limit only:</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes – information about your creditworthiness • Affiliates from using your information to market to you • Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
What happens when I limit sharing for a partnership interest I hold jointly with someone else?	Your choices apply to all parties, unless you inform Matrix otherwise in writing.
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> • <i>Our affiliates include companies with common control such as Matrix Investment Holdings LLC and Matrix Advisors, LLC.</i>
Non-affiliates	<p>Companies not related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> • <i>Matrix does not share with nonaffiliates so they can market to you.</i>
Joint marketing	<p>A formal agreement between non-affiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • <i>Matrix does not have non-affiliated joint marketing partners.</i>